Safeguards Screening Form

This form is to be used by the Implementing Agency (IA) to screen potential environmental and social safeguards issues in subprojects, and determine which safeguard instrument/s is to be prepared prior to implementation.

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| **Screening Questions** | **Answer** | | | **If ‘Yes’, WB policy triggered.** | **Documents required if ‘Yes”** |
| **Yes** | **No** | **N/A** |  |  |
| Are the project impacts likely to have significant adverse environmental impacts that are sensitive[[1]](#footnote-1), diverse or unprecedented? [[2]](#footnote-2) Please provide brief description. |  |  |  | |  | | --- | | *OP 4.01 Environmental Assessment*  Category A | | Not eligible for funding under MIMP |
| Do the impacts affect an area broader than the sites or facilities subject to physical works and are the significant adverse environmental impacts irreversible? Please provide brief description. |  |  |  | OP 4.01 Environmental Assessment  Category A | Not eligible for funding under MIMIP |
| Is the proposed project likely to have no adverse environmental impacts?[[3]](#footnote-3) Please provide brief justification. |  |  |  | OP 4.01 Environmental Assessment  Category C | Nothing further required |
| Is the project neither a Category A nor Category C as defined by the Bank?[[4]](#footnote-4) Please provide brief justification. |  |  |  | OP 4.01 Environmental Assessment  Category B | EA or limited EA, and ESMP |
| **Questions** | **Answer** | | | **If ‘Yes’, WB policy triggered** | **Documents required if “Yes”** |
| **Yes** | **No** | **N/A** |
| Are the project impacts likely to have significant adverse social impacts that are sensitive, diverse or unprecedented?[[5]](#footnote-5)Please provide brief description. |  |  |  | OP 4.01 Environmental Assessment  Category A | Not eligible for funding under MIMIP |
| Will the project involve the discharge of pollutants into air, water, soil and/or storage of chemicals, hazardous materials, etc. that pose risks to environmental and public health? |  |  |  | OP 4.01 Environmental Assessment  Category A/B | EA or Limited EA to determine risk level– only Cat B eligible for funding under MIMIP - ESMP with Waste / Hazardous Materials Management Plan |
| Will the project site be located near[[6]](#footnote-6), waterways or water bodies/ponds? |  |  |  | OP 4.01 Environmental Assessment  Category A/B | EA or Limited EA to determine level of risk/impact – only Cat B eligible for funding under MIMIP |
| Will the project adversely impact physical cultural resources?[[7]](#footnote-7) Please provide brief justification. |  |  |  | OP 4.11 Physical Cultural Resources  Category B | ESMP with PCR Management  Plan and/or Chance Find Procedures (CFP) |
| **Questions** | **Answer** | | | **If ‘Yes’, WB policy triggered** | **Documents required if “Yes”** |
| **Yes** | **No** | **N/A** |
| Will any physical works be sited on private freehold (customary), Crown or state land? Will this be acquired through market-based lease, government lease or sublease, purchase, or voluntary donation? Please provide a brief explanation: |  |  |  | OP 4.12 Involuntary Resettlement  Category C | Evidence of Land Title or Voluntary Land Donation  Protocol |
| Will any physical works be sited on communal or collective land? If so, is the land more than 5% of the community’s area, and/or do gardens, crops or fixed assets exist on the nominated land? Please provide a brief explanation. |  |  |  | OP 4.12 Involuntary Resettlement  Category A/ B | Resettlement no acceptable under MIMIP |
| Does the project involve the donation of land (in-kind) from project-affected persons for facilities or investments that will be of benefit to the broader community? Please provide a brief explanation. |  |  |  | OP 4.12 Involuntary Resettlement  Category C | No land acquisition proposed under MIMIP  Obtain land use/voluntary donation agreement |
| Will any physical works be located on land that is used or occupied by persons? |  |  |  | OP 4.12 Involuntary Resettlement  Category B | MIMIP proposed for government-leased land only.  Land access agreement |
| Does the project involve large-scale[[8]](#footnote-8) involuntary land acquisition or physical relocation of people? Please provide brief explanation |  |  |  | OP 4.12 Involuntary Resettlement  Category A | Not eligible for financing under MIMIP |
| Does the project involve minor involuntary land acquisition, loss of assets or access to assets, or loss of income sources or means of livelihood? Please provide brief explanation |  |  |  | *OP 4.12 Involuntary Resettlement*  Category B | |  | | --- | | No acquisition under MIMIP | |

1. Sensitive (i.e., a potential impact is considered sensitive if it may be irreversible, e.g., lead to loss of a major natural habitat, or raise issues covered by OP 4.04, Natural Habitats; OP 4.36, Forests; OP 4.10, Indigenous Peoples; OP 4.11, Physical Cultural Resources; or OP 4.12, Involuntary Resettlement; or in the case of OP 4.09, when a project includes the manufacture, use, or disposal of environmentally significant quantities of pest control products). [↑](#footnote-ref-1)
2. Examples of projects where the impacts are likely to have significant adverse environmental impacts that are sensitive, diverse or unprecedented are large scale infrastructure such as construction of new roads, railways, power plants, major urban development, water treatment, waste water treatment plants and solid waste collection and disposal, etc. [↑](#footnote-ref-2)
3. Examples of projects likely to have minimal or no adverse environmental impacts are supply of goods and services, technical assistance, simple repair of damaged structures, etc. [↑](#footnote-ref-3)
4. Projects that do not fall under Category A or Category C can be considered as Category B. Examples of Category B subprojects include small scale in-situ reconstruction of infrastructure projects such as road rehabilitation and rural water supply and sanitation, small schools, rural health clinics, etc. [↑](#footnote-ref-4)
5. Generally, subprojects with significant resettlement-related impacts should be classified as Category A. Application of judgment is necessary in assessing the potential significance of resettlement-related impacts, which vary in scope and scale from subproject to subproject. Subprojects that would require physical relocation of residents or businesses, as well as subprojects that would cause any individuals to lose more than 10 percent of their productive land area, often are classified as Category A. Scale may also be a factor, even when the significance of impacts is relatively minor. Subprojects affecting whole communities or relatively large numbers of persons (for example, more than 1,000 in total) may warrant Category A, especially for projects in which implementation capacity is likely to be weak. Subprojects that would require relocation of Indigenous Peoples, that would restrict their access to traditional lands or resources, or that would seek to impose changes to Indigenous Peoples’ traditional institutions, are always likely to be classified in Category A. [↑](#footnote-ref-5)
6. In the riparian zone or within 20 meters from a body of water. [↑](#footnote-ref-6)
7. Examples of physical cultural resources are archaeological or historical sites, including historic urban areas, religious monuments, structures and/or cemeteries, particularly sites recognized by the government. [↑](#footnote-ref-7)
8. Physical and/or economic displacement of more than 200 affected people and/or more than 10% of productive assets are lost. [↑](#footnote-ref-8)