**RMI CIU**

**Generic Environment and Social Impact Screening**

**FORM 1 – Environmental and Social Screening**

*(To be completed by the CIU Safeguards Team with inputs from PIU)*

*Timing: To be completed prior to finalization of Bid Documents for Project Works; prior to final TOR, prior to final scope of work and budget.*

*Purpose: 1) To scope potential environmental risks from proposed works and TA activities (Form 2)*

*2) (\*Works only)To Inform E&S Assessment and Management Plan Requirements/Inclusion on Bid Document (Form 3)*

|  |  |
| --- | --- |
| **Name of Works/TA Activity:** |  |
| **Location of Works/TA Activity:** |  |
| **Date of Form Completion:** |  |
| **Name of Person Completing Form:** |  |
| **Date of Site Visit (if applicable):** |  |
| **Agencies or People consulted to date (to inform completion of form):** |  |
| **Attached concept description (circle one)** | Yes / No |

**Risk Rating**

E&S risks associated with sub-projects, TA and social/health/education services provided under the Project will be evaluated according to Form 2 and rated **Low**, **Moderate**, **Substantial** and **High** based on the following four elements[[1]](#footnote-1).

1. Sensitivity of E&S receptors and scale of works, operations, demand for resources, creation of waste and emissions, sensitivity of vulnerable persons;
2. The nature and magnitude of impacts (duration, intensity, reversibility, complexity) and possibility of mitigation measures;
3. Capacity of the PIU and CIU, RMI legislation and availability of resources to manage E&S risks;
4. Contextual risks – COVID-19, remoteness from markets for expertise, equipment or services;

Risk Ratings will be applied using the activity risk ratings for Forms 2 and 3 as follows:

| **Criteria for Screening Forms 2 and 3** | **Sub-*Project* Risk Rating**  (Highest risk rating applies) |
| --- | --- |
| Minor or less than minor risk to E&S receptors incl. vulnerable persons (not including SEA/SH risks – see below); minor scale operations; (unmitigated) | Low |
| More than minor risks to sensitive E&S receptors incl. vulnerable persons; minor scale operations; but all risks can be suitably mitigated (except as identified below | Moderate |
| Large Scale Earthworks (unmitigated) | Substantial |
| Biodiversity risks – more than minor - (unmitigated) | Substantial |
| Cultural heritage risks (unmitigated) | Substantial |
| Issues with land, assets and / or livelihoods that may lead to social conflict. | Substantial |
| Large scale impacts on land owners and occupiers and asset owners/users. | High. |
| Any SEA/SH, GBV, VAC or other risk for vulnerable persons. | High |

**Form 2 – E&S Risk Screening**

| **Potential Impact** | | **Potential Impact (without mitigation) (✓)** | | | | **Describe/Comment on significance** |
| --- | --- | --- | --- | --- | --- | --- |
|  | | **Low** | **Moderate** | **Substantial** | **High** |  |
| **1.0** | **Physical Works** | | | | | |
| 1.1 | Does design of proposed works incorporate design-related E&S risk mitigation wherever possible? |  | | | | * Yes * No   If “YES” continue to next rows in this Table.  If “NO” Revert to designer to ensure building design has taken into account E&S Risk mitigation. |
| 1.2 | Do proposed works/services entail construction activities or physical works? |  | | | | * Yes * No   If “YES” continue to next rows in this Table.  If “NO” proceed to Social Impact Screening (Part 3 of this Table) |
| 1.3 | Dust / noise / vibration impacts on sensitive receptors (e.g. hospital patients, school children, residential communities, businesses, essential services etc). |  |  |  |  |  |
| 1.4 | Generation and discharge of solid and liquid waste (e.g. spoil, refuse, domestic waste/ wastewater, hazardous substances etc). |  |  |  |  |  |
| 1.5 | Is construction material required for the design (e.g., rock/ aggregate/ cement) able to be sourced locally from an approved sustainable sources; Otherwise obtain aggregates from overseas (imported) sources. |  | | | | * Yes * No |
| *Describe:* |
| **2.0** | **Ecological** | | | | | |
| 2.1 | Loss of terrestrial, coastal, or aquatic vegetation and/or habitat (incl. riparian vegetation).  Potential impacts to habitats and/or ecosystems and ecosystem services.  For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes. |  |  |  |  |  |
| 2.4 | Could an alternative design be explored to decrease / avoid ecological impacts or improve ecological outcomes. |  | | | | * Yes * No |
| *Describe:* |
| **3.0** | **Social Impacts** | | | | | |
| 3.1 | Will proposed works be undertaken on land for which appropriate legal occupation rights are held? |  | | | | * Yes * No   If “YES” provide copy of documentation.  If “NO” do not proceed |
| 3.2 | Potential for works/TA/Social, Health and Education Services to lead to SEAH/SH impacts |  |  |  |  |  |
| 3.3 | Potential for outcome of TA activities to lead to SEAH/SH impacts or GBV |  |  |  |  |  |
| 3.4 | Disproportionate impacts on vulnerable groups, including women, children and people with disabilities, including any potential disruption to services. |  |  |  |  |  |
| 3.5 | Risks to community health & safety from proposed works (i.e., communities near work site), from waste management, management of medical wastes . |  |  |  |  |  |
| 3.6 | Risks posed to the community from the construction workforce (e.g., imported/migrant labour related risks), including SEA/SH and VAC |  |  |  |  |  |
| 3.7 | Potential negative impacts on community relations (i.e., conflict) due to Project works or outcomes? |  |  |  |  |  |
| 3.8 | Risk to cultural heritage sites or resources |  |  |  |  |  |
| **4.0** | **Resource Efficiency and Pollution Prevention (applies to ECD-I (OP4.01) and ECD-II (ESS3).** | | | | | |
| 4.1 | Do works/activity involve or promote the sustainable use of resources, including energy, water and raw materials. |  | | | | * Yes * No |
| *Describe:* |
| 4.2 | Do works/activity avoid or minimize adverse impacts on human health and the environment by avoiding or minimizing pollution from Project activities. |  | | | | * Yes * No |
| *Describe:* |
| 4.3 | Do works/activity avoid or minimize Project-related emissions of short and long-lived climate pollutants. |  | | | | * Yes * No |
| *Describe:* |
| 4.4 | Do works/activity avoid or minimize generation of hazardous and non-hazardous waste. |  | | | | * Yes * No |
| *Describe:* |
| 4.5 | Do works/activity minimize and manage the risks and impacts associated with pesticide use. |  | | | | * Yes * No |
| *Describe:* |
| **5.0** | **Overall Determination of Risk Status** | | | | | |
|  | *Notes:*  *1. Describe overall works/activity risk status and identify any particular risk areas of significance*  *2. Identify instruments required*  *3. On completion move to Form 3.* | | | | | |

**FORM 3 (WORKS ONLY) – Agreed Environmental and Social Documents Required**

*(To be completed by CIU Safeguards Team, with the support of PIU where appropriate)*

*Timing: To be completed after completion of Form 2*

*Purpose: 1) To confirm which ESMPs are to be prepared and/or implemented for the works;*

*2) To confirm which additional management plans are to be prepared by the Contractor (as informed by the ESMF).*

|  |  |
| --- | --- |
| **Name of Works:** |  |
| **Location of Works:** |  |
| **Date of Form Completion:** |  |
| **Name of Person Completing Form:** |  |
| **Name of Person Approving:** |  |

For Project activities or works categorized in FORM 2 as Low Risk AND where all risks are assessed as less than minor no explicit E&S documentation will be required.

As per the Project ESMF the following safeguard documents are to be prepared/required in Bid Documents for the above Project works of TA activities:

* Generic ESMP for Low Risk Works (where risks are assessed as minor or below)
* Works specific ESMP for Moderate Risk Works
* Works specific SEP for Moderate Risk Works

Works with a Substantial or High Risk to be redesigned and rescreened to reduce the risk back to Moderate. If this is not possible then:

* Full ESMP and ESIA for Substantial or High Risk Works commensurate with Project ESMP/ESMF.

|  |  |  |  |
| --- | --- | --- | --- |
| **Signature:** |  | **Signature:** |  |
| **Signed by:**  *(Completed Form)* |  | **Signed by:**  *(Approver)* |  |
| **Date:** |  | **Date:** |  |

1. World Bank. 2019. Bank Directive. Environmental and Social Directive for Investment Project Financing. [↑](#footnote-ref-1)